

Isle of Man Regulatory Advice

Our team of specialist and highly experienced Advocates act for individuals, companies and regulators, providing advice on compliance, investigations, adjudication, enforcement and prosecutions.

Regulatory advice covers those areas of finance and industry in the Isle of Man which are regulated by the Isle of Man Financial Services Authority. In addition to the Financial Services we also provide regulatory advice for licensing and regulation of land-based and online gambling operations, as regulated by the Isle of Man Gambling Supervision Commission, together with Data Protection and the implementation of the GDPR under the Data Protection Act 2018.

What fields are covered?

Our Regulatory Team is staffed by the firm's most experienced professionals, who will advise and support you across the vast array of potential regulatory matters including:

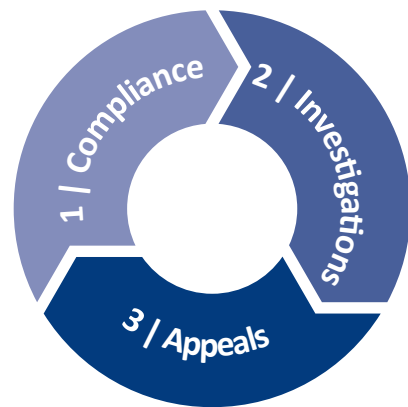
- Rule Book breaches
- Anti-money laundering, countering the financing of terrorism and proceeds of crime
- Civil Penalty Regulations
- Principles of corporate governance and the conduct of businesses, directors and officers

Ensuring compliance with the increasingly complex and pervasive nature of regulation in the Isle of Man and other jurisdictions requires expert advice and support. Whether you or your organisation needs help before or after a regulatory intervention, clear and decisive advice is required. With your reputation, ability to run your organisation or your ability to practise, in jeopardy, we bring clarity in often stressful and emotional circumstances.

The Three Stages

1 | Compliance

Working closely with you, we will design industry-specific compliance procedures and standards, including drafting manuals and forms.



2 | Investigations

When the Isle of Man Financial Services Authority or the Information Commissioner has exercised powers of investigation, we will provide support through all the stages, including the possibility of settlement negotiations.

3 | Appeals

If the outcome of an investigation, or the failure to achieve a settlement, has led to the imposition of civil or criminal penalties, then we will represent you in any Isle of Man High Court proceedings and in appeals before the Financial Services Tribunal and the Data Protection Tribunal, should the need arise.

Many of our clients have complex legal problems that require the help of lawyers from across the firm, including those from our commercial and civil litigation, employment, company and commercial, family law and dispute resolution teams. Our cross-practice approach ensures that clients receive seamless advice and that their legal problems are analysed from every necessary angle.

We are here for you...

Contact us today by email at enquiries@mannbenham.com or by telephone on **01624 639350** to arrange a convenient time to call you back, either by telephone or video call.



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01624 639350 or enquiries@mannbenham.com

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MannBenham Advocates Limited is a company registered in the Isle of Man with company number 100904C.

MannBenham Advisory is the business name of MannBenham Services Limited a company registered in the Isle of Man with company number 027473B.

MannBenham Fiduciaries Limited is a company registered in the Isle of Man with company number 88712C and is licensed by the Isle of Man Financial Services Authority to provide Corporate and Trust Services.

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